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<b>(21) International Application Number:</b> PCT/US98/23625 <b>(22) International Filing Date:</b> 6 November 1998 (06.11.98) <b>(30) Priority Data:</b> 60/064,388 7 November 1997 (07.11.97) US <b>(71) Applicant (for all designated States except US):</b> OMD DEVICES LLC [US/US]; Berezowsky, Leonardo, 1013 Centre Road, Wilmington, DE 19805 (US). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> ALPEROVICH, Mark [IL/IL]; Hamelech Hizkija 33/3, 77497 Ashdod (IL). GLUSHKO, Boris [IL/IL]; Melech Rechavam Street 20/8, Rova Gimel, 77480 Ashdod (IL). KRUPKIN, Vladimir [IL/IL]; Ashdod (IL). LEVICH, Eugene [IL/US]; Apartment 9L, 330 West 45 Street, New York, NY 10036 (US). SUL, Irene [IL/IL]; Ashdod (IL). <b>(74) Agents:</b> COHEN, Herbert et al.; Blank Rome Comisky & McCauley LLP, Wigman, Cohen, Leitner & Myers, Intellectual Property Group, 900 17th Street, N.W., Washington, DC 20006 (US).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> FLUORESCENT COMPOSITION FOR THE MANUFACTURE OF CD-ROM TYPE OPTICAL MEMORY DISKS		
<b>(57) Abstract</b> <p>A CD-ROM type optical disk, whether single-layer or multi-layer, is formed on a substrate having pits in its surface. The pits are filled with a fluorescent composition. Multiple disks such as that one can be glued on top of one another. Suitable fluorescent compositions include xanthene dyes of the eosine group, xanthene dyes of the rhodamine group, acridine dyes, oxazine dyes, azine dyes, indigoide dyes, perylene dyes, violanthrone dyes, cyanine dyes, phthalocyanine dyes, and porphyrins.</p>		

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# FLUORESCENT COMPOSITION FOR THE MANUFACTURE OF CD-ROM TYPE OPTICAL MEMORY DISKS

## FIELD OF THE INVENTION

5        This invention relates generally to optical materials for, and to a method of, forming optical memory discs of the CD-ROM type for use with fluorescent reading, including disks for three-dimensional (3D) optical memory systems.

## BACKGROUND OF THE INVENTION

To date, digital information carriers for recording, storing, and reading sound and  
10    images by optical methods have received wide recognition.

Generally, information is written in the form of local changes to the active medium optical thickness or the reflection coefficient, while reading is performed using laser emission and is based on the laser beam phase or amplitude changes in information record centers.

CD-ROM's are the least expensive and most sophisticated of the optical information  
15    carriers. However, both the storage volume and the signal-to-noise ratio of currently used CD-ROM's are inadequate for the new generations of computers and video systems now under development.

Therefore, materials for better optical memory systems are being actively developed. These materials are required to provide an increased data density, a high signal-to-noise ratio,  
20    an improved operation and storing stability, and low costs.

A promising approach to the increase of optical information carrier capacity consists of

- increasing the number of information bits per active layer by shortening the pits and increasing their amount, and
- producing multilayer disks.

This approach is implemented in the DVD standard disks that have recently appeared  
5 on the market. In these disks, the pit size is halved and the number of active layers is increased to 4, two on each side of the substrate. This has enabled the disk capacity to be increased up to 20 GB.

However, when reflection coefficient changes are used for reading, further increase of the number of disk active layers results in a sharp cost increase of the system and  
10 deterioration of data retrieval quality. As a result, attempts to increase the disk capacity fail.

Known in the prior art are Japanese patent publications [JP 63-195,838 (August 12, 1988); JP 02-308,439 (December 21, 1990)] suggesting a fluorescent reading method. The concept of this method is that after the writing process the information record centers do not fluoresce, whereas the background does. When reading with a corresponding laser beam, the  
15 fluorescent light is excited and registered with a detector.

The above references suggest laser beam recorded optical disks which are of the WORM (write once, read many) type and thus cannot be used as CD-ROM type disks. Additionally, the optical disks proposed in the first of the above references are so constructed that the active layer is deposited on a dull substrate surface. This technology prevents the  
20 production of multilayer disks, because light excited on reading is subject to strong scattering.

Materials based on photochromic compounds, preferably spirobenzopyrans, contained in a polymer matrix, were suggested by D.A. Pathenopulos, and R.M. Rentzepis, *Science*, 245, 843 (1989); and R.M. Rentzepis, US Patent 5,268,862 (December 7, 1993) to be used as

3D optical memory medium where multilayer data writing and fluorescent reading are performed. With these materials, information is written by the two-photon excitation of the initial non-fluorescing form A of the photochromic compound, this excitation being produced by two focused laser beams intersecting in definite locations of active medium.

- 5 Under excitation, form A transforms to form B. Form B absorbs two reading emission photons and emits the fluorescent light which is absorbed by a detector. Materials of this type are intended for repeatedly rewritten memory, because heating or irradiation results in erasing data due to the transformation of form B to initial form A.

A practical implementation of 3D optical memory concepts and methods, as suggested  
10 by US Patent No. 5,268,862, is quite problematic and highly improbable for a number of reasons, including, in particular, the following:

1. A low photochemical and thermal stability of suggested photochromic compounds resulting in their destruction after repeated writing – reading – erasing cycles.
2. The possibility of erasing data (form B to form A transition) in the process of  
15 fluorescence reading.
3. A low quantum yield of merocyanine form B of suggested photochromic spiropyran.

For the above reasons, the 3D optical memory devices proposed in US Patent 5,268,862 are unsuitable for CD ROM type multilayer disks as well.

- 20 The use of organic dyes in optic WORM disks is known D.J. Gravesteyn, J. van der Veen, *Philips Tech. Rev.*, **41** (1983/1984), 325; J.E. Kuder, *J. Imag. Technol.*, **12** (1986), 140; J.E. Kuder, *J. Imag. Sci.*, **32** (1988), 51.

The most frequently used to produce WORM disks active layers are cyanine dyes in JP 08-108,630 (April 30, 1996); JP 07-186,530 (July 25, 1995); JP 06-336,086 (December 6, 1994); JP 06-227,138 (August 16, 1994), phthalocyanines Brit. UK Pat. Appl. GB 2,290,489 (January 3, 1996); JP 07-166,082 (June 27, 1995); Ger. Offen. DE 4,310,917 (October 7, 1993) and porphyrins JP 08-127,174 (May 21, 1996); JP 07-304,257 (November 21, 1995) herein incorporated by reference.

The majority of dyes belonging to these classes exhibit satisfactory fluorescence capacity in polymer matrices provided that true solutions have been formed, i.e. dyes are present in the polymer in a molecularly dispersed state.

In existing WORM disk technology, dyes are usually applied to a grooved substrate by spin coating or another method, e.g. vacuum evaporation, in the form of polycrystalline or amorphous opaque thin films having a thickness of about 10 nm. For this reason, and due to the fact that the laser wavelength being used for reading by the reflection coefficient variation method may not coincide with the dye absorption maximum, the dye concentration of the active layer should be as high as possible. In such layers the dyes used do not fluoresce. In some cases, to obtain dye layers for WORM disks, polymer binders are used, M.S. Gupta, "Laser Recording on an Overcoated Organic Dye-Binder Medium", *Applied Optics*, **23** (22), Nov. 15, 1984; K.Y. Law *et al.*, "Ablative Optical Recording Using Organic Dye-in-Polymer Films", *Appl. Phys. Lett.*, **36** (11), Jun. 1, 1980; US Pat. 5,348,841 (September 20, 1994); JP 07-126,559 (May 16, 1995). In dye-in-polymer films of WORM disks, dyes are only partially dissolved in the polymer matrix, the major part of the dyes staying in the form of aggregates and solid particles. This sharply reduces their fluorescence capacity.

Therefore, dye layers, both with and without a polymer binder, used in WORM disks with the reading based on the variation of reflection coefficient, cannot be used in optical CD-ROM type memory disks with a fluorescence reading.

## 5 SUMMARY OF THE INVENTION

It is an object of the invention to provide a fluorescence-based reading method that has a number of advantages over methods based on the variation of reflection coefficient, even in the case of single-layer disk.

It is another object of the invention to reduce the precision requirements to pit  
10 production as compared to existing CD-ROM's. For example, changing the pit size by 100 nm does not hinder reading from the fluorescence disk, while resulting in the complete disappearance of the reflection disk signal.

It is a further object of the invention to provide fluorescence disk insensitivity to disk plane tilt variations up to  $1^\circ$ , which is absolutely inadmissible for the reflection disk.

15 It is a still further object of the invention to provide a 3D optical memory carrier in the form of a multilayer disk.

To achieve these and other objects, the construction principle of a multilayer optical disk with fluorescence-based reading is as follows B. Glushko, US Provisional Patent Application No. 25457, 8/05/97 herein incorporated by reference. Single-layer optical disks  
20 with pit-filling fluorescent material as information carrier are sequentially superimposed on one another so that a multilayer system is formed, where active layers consisting of fluorescing pits 0.5 to 1  $\mu\text{m}$  deep alternating with inactive separation layers 20 to 50  $\mu\text{m}$  thick, the latter being transparent for both the excitation laser light and the fluorescence light.

To produce a multilayer optical disk with fluorescence-based reading, a fluorescent composition meeting a number of specific requirements is used. The most important of these requirements are as follows:

1. The absorption bandwidth of the fluorescent compound should coincide with the  
5 emission wavelength of a laser used for reading.
2. The material should have the maximum possible quantum yield of fluorescence  
which will not decrease in long-term storage and operation.
3. To avoid over-absorption of fluorescence light, the overlay of the absorption and  
fluorescence bands should not be too large.
- 10 4. The fluorescent composition should not scatter the excitation laser emission and  
fluorescent light passing through it.
5. The fluorescent dye should be readily compatible with a matrix, forming a true  
solution of the needed concentration in the matrix, and should not migrate from it.
6. The fluorescent composition should penetrate the pits easily and not color space  
15 among the pits.
7. The solution used for filling pits must not interact with a pit-containing substrate  
and change the geometric parameters of the pits.
8. The refraction index of the fluorescent composition must be close to that of a pit-  
containing substrate.
- 20 9. When storing and using a multilayer disk with pits that contain fluorescent  
composition, the properties of the disk must not change.



Still another object of the present invention is to develop a fluorescent composition exhibiting physical and physicochemical properties needed for use in CD-ROM type optical disks, including those intended for a 3D optical memory with fluorescence reading, where data carriers represent pits filled with fluorescent composition.

5        This fluorescent composition consisting of fluorescent dye, film-forming polymer, organic solvent, plastifier and (if necessary) surfactant and light stabilizer provides forming active layers of CD-ROM's with fluorescence reading, free from the drawbacks of prior art optical disks with active layers based on polymer films containing organic dyes.

This object is achieved by devising a composition including:

- 10        – A fluorescent dye whose absorption band coincides with the wavelength of the laser emission used for reading, this dye having a high quantum yield of fluorescence, forming a true solution with a polymer matrix and not migrating from the matrix;
- A film-forming polymer which exhibits a high transparency and does not scatter the laser emission and fluorescent light passing through the active layer, penetrates the
- 15        substrate pits well and can easily be removed from the substrate;
- An organic solvent that provides good solubility of the fluorescent composition components, wets the pit-containing substrate surface, does not interact with substrate material and does not produce deformation of pits;
- A plastisizer which increases the elasticity of composition and helping its
- 20        penetration into the pits.

Also, if necessary, the composition may be completed with surfactants that reduce the surface tension of the composition and thus improve substrate surface wetting and penetration of the solution into the pits; and with light-stabilizers, contributing to the preservation of

optical and spectral luminescence properties of the fluorescent composition in the storage and use of optical disks.

After being applied to the substrate and dried, the fluorescent composition has a refractive index close to that of substrate.

## 5 BRIEF DESCRIPTION OF THE DRAWINGS

Various preferred embodiments of the present invention will now be set forth in detail with reference to the drawings, in which:

Fig. 1 shows a cross-sectional view of a portion of an optical disk according to a preferred embodiment of the present invention during an intermediate stage in its formation;

10 and

Fig. 2 shows a cross-sectional view of a portion of the completed optical disk.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The CD-ROM multilayer optical disk of the invention is shown in Fig. 1 wherein a  
15 substrate 1 having pits 3 is filled with a fluorescent composition 5 having the properties described herein. The multilayered structure can be formed by either glueing at least one other layer of substrate 9 to the substrate 1 by the adhesive layer 7. The adhesive is preferably a UV or photo cured adhesive that is cured with light ray 11. Alternatively, layers  
20 of substrate 9 can be cast or coated onto substrate 1 as long as the casting or coating process does not disturb the previously formed layer with pits filled with the fluorescent composition. A multi layered optical disk is shown in Fig. 2 the disk 13 as shown has ten layers.

The fluorescent composition used for the manufacture of CD-ROM type optical disks, including those intended for the 3D optical memory with fluorescence reading, where pits filled with this fluorescent composition play the role of data carriers, is produced as follows:

First, solutions of fluorescent dye, film-forming polymer, plasticizer, surfactant and  
5 light-stabilizer in appropriate solvents are prepared.

Then these solutions are mixed together, homogenized and filtered. After deaeration, a transparent solution is obtained with the following concentration values: film-forming polymer 0.2 – 5.0 wt. %, fluorescent dye 0.001 – 0.1 mole/kg, plasticizer 1.0 – 50 wt. % w.r.t. the polymer, surfactant 0.01 – 2.0 wt. % w.r.t. the polymer, and light-stabilizer 0.1 – 2.0 wt.  
10 % w.r.t. the polymer. The fluorescent composition solution is applied by spin coating, roller coating, or dip coating to the surface of substrate representing a pitted disk made from polycarbonate or PMMA.

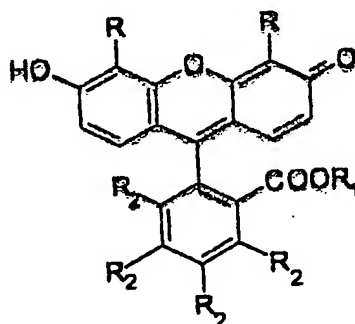
The coating is applied so that the pits are filled with the composition, while the substrate surface among the pits remains uncolored and does not fluoresce. When necessary,  
15 the remaining fluorescent composition is removed from the substrate surface by rinsing with a solvent or mechanically.

To produce a multilayer disk intended for the 3D optical memory with fluorescence reading, single-layer disks whose pits are filled with fluorescent composition are sequentially glued to one another in such a way that the active layers consisting of fluorescent pits 0.1 –  
20 1.0  $\mu\text{m}$  deep (preferably, 0.3 – 0.5  $\mu\text{m}$  deep) alternate with inactive separation layers 20 to 50  $\mu\text{m}$  thick, these layers being transparent for the laser excitation and fluorescent light wavelengths.

The following compounds are used for fluorescent dyes:

1. Xanthene dyes of the eosine group, including fluorescein, eosine, erythrosine.

dichlorofluorescein of the general structure I

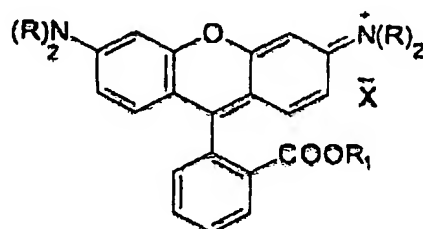


I

where R stands for H, Cl, Br, or I; R<sub>1</sub> stands for H, Na, Alk; and R<sub>2</sub> stands for H, Cl.

2. Xanthene dyes of the rhodamine group, including rhodamine B, 3B, C, G, 6G, 101,

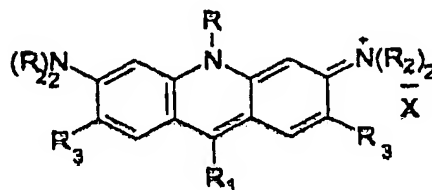
of the general structure II



II

where R stands for H, CH<sub>3</sub>, C<sub>2</sub>H<sub>5</sub>, CH<sub>2</sub>COOH, C<sub>2</sub>H<sub>4</sub>OH; R<sub>1</sub> stands for H, Na, CH<sub>3</sub>, C<sub>2</sub>H<sub>5</sub>, Ar; and X is an anion selected from Cl<sup>-</sup>, Br<sup>-</sup>, I<sup>-</sup>, F<sup>-</sup>, HCO<sub>2</sub><sup>-</sup>, CH<sub>3</sub>CO<sub>2</sub><sup>-</sup>, ClO<sub>4</sub><sup>-</sup>.

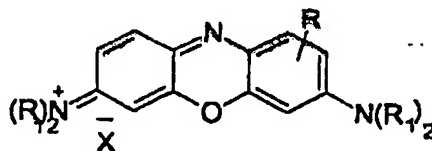
3. Acridine dyes of the general structure III



III

where R stands for H, CH<sub>3</sub>, C<sub>2</sub>H<sub>5</sub>; R<sub>1</sub> stands for H, C<sub>6</sub>H<sub>5</sub>; R<sub>2</sub> stands for H, Alkyl; R<sub>3</sub> stands for H, CH<sub>3</sub>; and X is an anion selected from F, Cl, Br, I, HCOO, CH<sub>3</sub>CHOHCOO, ClO<sub>4</sub>, etc..

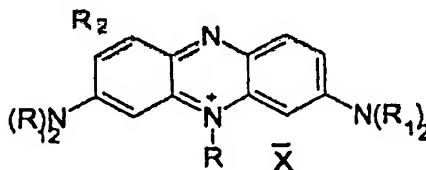
4. Oxazine dyes of the general structure IV



IV

where R stands for H, CH<sub>3</sub>, and benzo-group; R<sub>1</sub> stands for H, CH<sub>3</sub>, C<sub>2</sub>H<sub>5</sub>; and X is an anion selected from Cl, Br, I, HCO<sub>2</sub>, CH<sub>3</sub>CHOHCO<sub>2</sub>, ClO<sub>4</sub>, etc..

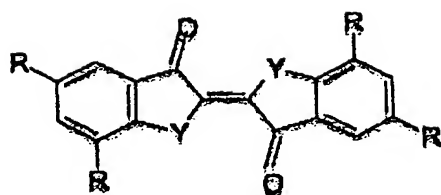
5. Azine dyes of the general structure V



V

where R stands for phenyl, naphthyl; R<sub>1</sub> stands for H, alkyl, phenyl; R<sub>2</sub> stands for H, benzo-group; R<sub>3</sub> stands for H, SO<sub>3</sub>H; and X is an anion.

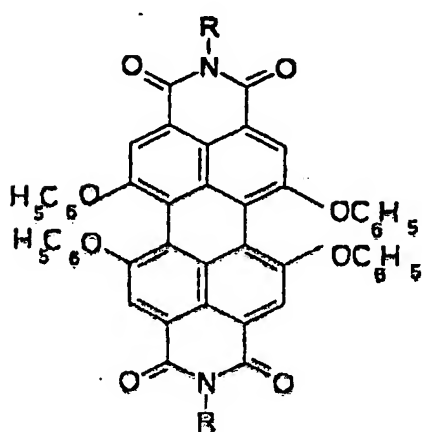
6. Indigoide dyes of the general structure VI



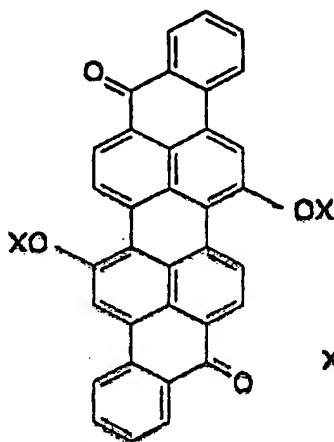
VI

where Y stands for S, NH; R stands for H, Cl, Br, I, Oalkyl, Oaryl etc.

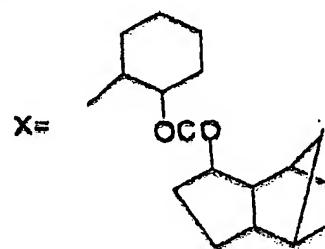
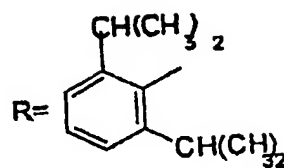
5 7. Perylene and violanthrone dyes containing structures VII and VIII



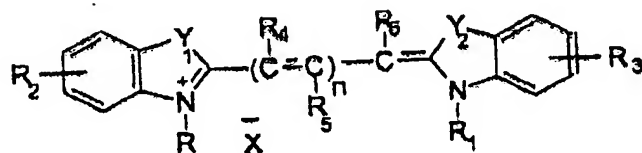
VII



VIII



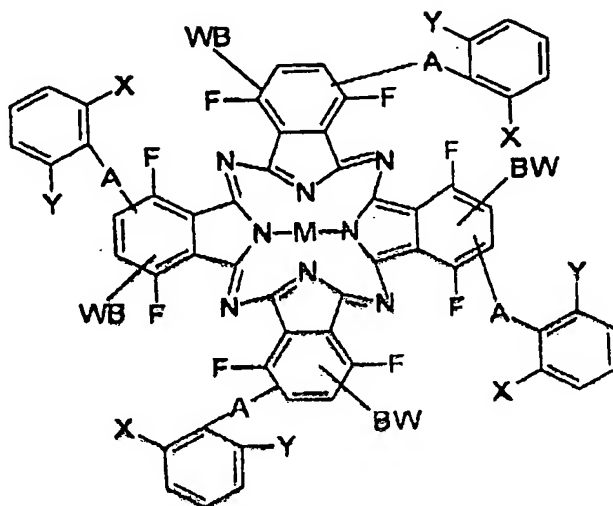
8. Cyanine dyes of the general structure IX



IX

where  $Y_1 = Y_2$ ,  $Y_1 = Y_2$ ,  $Y_1$  and  $Y_2$  stand for O, S, N-Alk, N-Ar,  $C(CH_3)_2$ ; R and  $R_1$  stand for Alk, Ar;  $R_2 - R_3$  are various substituents, including those forming cyclic groups; X is an anion;  $n = 1 - 3$

9. Phthalocyanine dyes containing the structure X



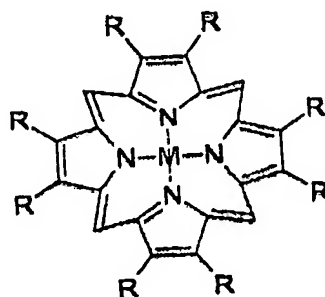
10

15

X

where A, B stand for O, S; W stands for Alk; X stands for COOR; Y stands for Alk, AlkO, COOR; M stands for 2H, metal, metal oxide, metal halide.

10. Porphyrins of the structure XI



20

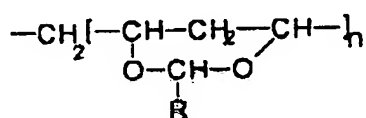
XI

where R stands for Alk, Ar, C<sub>6</sub>F<sub>5</sub>, C<sub>6</sub>H<sub>11</sub>; M stands for 2H, Mg, AlCl, Fe<sup>+3</sup> etc..

When producing fluorescent composition, film-forming polymers exhibiting high transparency, easily penetrating pits and easily removable from the substrate surface are used.

They include the following high-molecular compounds:

- 5 1. Polyvinylacetals, carbochain polymers of the general structure XII



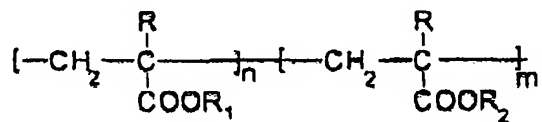
10

XII

where R stands for H, CH<sub>3</sub>, C<sub>2</sub>H<sub>5</sub>, C<sub>3</sub>H<sub>7</sub>, C<sub>4</sub>H<sub>9</sub>. This group includes polyvinylbutyral containing 55 to 75 mol. % of butyral group and having a glass transition temperature of 55°C; polyvinylformal with an acetalization degree of 75 to 85 mol. %; and polyvinylethylal.

These polymers may be used together with phenol-formaldehyde resins.

- 15 2. Acrylic resins - polymers of acrylic and metacrylic esters and copolymers of alkylacrylates with acrylic or metacrylic acid of the general structure XIII,



20

XIII



where R stands for H, CH<sub>3</sub>; R<sub>1</sub> and R<sub>2</sub> stand for Alk; R<sub>1</sub> stands for Alk; R<sub>2</sub> stands for H; and n/m = 1 to 4. This group includes polymethylmetacrylate, polybutylmetacrylate, and methylmetacrylate- or butylmetacrylate-metacrylic acid copolymers.

3. Cellulose ethers and esters of the general structure [C<sub>6</sub>H<sub>7</sub>O<sub>2</sub>(OR)<sub>3</sub>]<sub>n</sub> (XIV) and  
5 [C<sub>6</sub>H<sub>7</sub>O<sub>2</sub>(OCOR)<sub>3</sub>]<sub>n</sub> (XV), respectively, including ethyl cellulose with  $\gamma = 230 - 260$  (where  $\gamma$  is the number of substituted hydroxyl groups per 100 glycoside residues of the cellulose macromolecule), cellulose acetobutyrate, and cellulose nitrate (with a nitrogen content of 9 - 11 %).

Apart from this, phenol-formaldehyde resins (resols and novolacs), melamine-  
10 formaldehyde resins, urea-formaldehyde resins, and polyvinylacetate may be used as film-forming polymers for fluorescent compositions.

Solvents being used for fluorescent compositions wet the substrate surface well, help composition penetration into the pits, but do not interact with the substrate material and produce no pit deformation. When polycarbonate disks are used, only dioxane and aliphatic  
15 alcohols, methanol, ethanol, propanol, isopropanol, isobutanol, pentanol and their mixtures may be used as solvents.

Film-forming polymers proposed in the present invention exhibit various ranges of a highly elastic state and, therefore, provide different plasticity of coatings. An inadequate plasticity of the polymer hinders the penetration of the fluorescent composition in small pits  
20 of size 0.1 - 1.0  $\mu\text{m}$ . In such cases, plastifiers are used. As plastifiers allow the decrease of the flow point and glass transition temperature, as well as the elasticity modulus of fluorescent composition, proposed are phthalic esters (including dibutyl phthalate and dioctyl phthalate), sebacic esters (including dibutyl sebacate and di-(2-ethyl-hexyl) sebacate), and

phosphate esters (including tributyl phosphate and tricresyl phosphate). These plastifiers form true solutions in fluorescent compositions and produce no blurring when storing or using disks.

To improve the substrate and pit surface wettability with the fluorescent composition solution, as well as the solution spreadability, surfactants reducing the surface tension at the solid - liquid interface are used. As surfactants, non-ionogenic compounds like Tritons X and FC-430 and FC-431 Fluorosurfactants produced by the 3M Company can be used.

As light-stabilizers, HALS (Hindered Amine Light Stabilizers), in particular, Tinuvin 292, 144, 622, and 770 are used.

Multilayer optical disks are manufactured using adhesives which provide a good shrinkage-free adhesion of the surfaces being connected, produce no adverse effect on the properties of fluorescing pits and signal-to-noise ratio, transparent for laser excitation and fluorescence light. These requirements are met by UV-light cured optical adhesives, preferably P-92, UV-71, UV-69, UV-74, J-91, VTC-2, SK-9. from Summers Laboratories, Collegeville, PA.

#### Example 1.

To produce a fluorescent composition, the following ethyl alcohol solutions are prepared:

Compound	Concentration, g/l
Polyvinylbutiral	50
Oxazine 1	8.5
Diocetyl phtalate	30

Triton X-45	1.0
Tinuvin 292	10

These alcohol solutions are mixed in proportions providing the following concentrations of components:

Compound	Concentration
Polyvinylbutiral (PVB)	10 g/l
Oxazine 1	1.3 wt. % w.r.t. PVB
Diethyl phthalate	20 wt. % w.r.t. PVB
Triton X-45	0.03 wt. % w.r.t. PVB
Tinuvin 292	0.5 wt. % w.r.t. PVB

The composition solution is filtered, allowed to stand at 40°C for aeration and applied by spinning to a pitted polycarbonate disk at 60°C. Having been exposed for 20 minutes, the fluorescent composition layer is treated with ethyl alcohol for 20 seconds while remaining on the spinner. The produced fluorescence disk has the data pit background noise contrast ratio  $\gamma = 6$ , as compared to  $\gamma = 1.3$  for usual disks with reflection-based reading. The fluorescent composition has an absorption maximum at 645 nm and a fluorescence maximum at 680 nm and is therefore suitable for fluorescence reading by diode laser with an emission wavelength of 640 nm.

#### Example 2

A composition similar to that of Example 1, except that Nile blue (perchlorate) is used as a fluorescing dye. A 6.3-g/l solution in ethyl alcohol is taken in proportion giving a concentration of 1.0 wt. % w.r.t. PVB. The produced fluorescence disk has a contrast ratio  $\gamma =$

5. The fluorescent composition has an absorption maximum at 630 nm and a fluorescence maximum at 665 nm.

#### Example 3

A composition similar to that of Example 1, except that cyanine dye HITC (iodide) is used as a fluorescing dye. A 5.1-g/l solution in ethyl alcohol is taken in proportion giving a concentration of 0.8 wt. % w.r.t. PVB. The produced fluorescence disk has a contrast ratio  $\gamma =$

7. The fluorescent composition has an absorption maximum at 640 nm and a fluorescence maximum at 680 nm.

#### Example 4

10 A composition similar to that of Example 1, except that cyanine dye HITC (perchlorate) is used as a fluorescing dye. A 5.3-g/l solution in ethyl alcohol is taken in proportion giving a concentration of 0.7 wt. % w.r.t. PVB. The produced fluorescence disk has a contrast ratio  $\gamma =$

7. The fluorescent composition has an absorption maximum at 755 nm and a fluorescence maximum at 800 nm and is suitable for fluorescence reading by diode laser with an emission

15 wavelength of 780 nm.

#### Example 5

Compositions similar to those in Examples 1 – 4. Ethanol-2-propanol-isobutanol mixture (7:1.5:1.5) is used as a solvent, methacrylate copolymer resin 2550 (Elvacite acrylic resins, DuPont) with a concentration of 7.5 g/l is used as a film-forming polymer, and dioctyl

20 phthalate with a concentration of 40 wt. % w.r.t. copolymer 2550 is used as a plastifier. In these fluorescent compositions, Triton X-45 and Tinuvin 292 are not used. Using oxazine 1 as a fluorescent dye gives  $\gamma = 5$ , Nile blue gives  $\gamma = 4$ , HIRC (iodide) gives  $\gamma = 5$ , and HITC (perchlorate) gives  $\gamma = 5$ .

## Example 6

Compositions similar to those in Example 5, except that 5-g/l solution of polyvinylacetate is used as a film-forming polymer. Using oxazine 1 as a fluorescent dye gives  $\gamma = 9$ , Nile blue gives  $\gamma = 8$ , HIDC (iodide) gives  $\gamma = 10$ , and HITC (perchlorate) gives  $\gamma = 9$ .

## Example 7

To produce a multilayer optical disk of CD ROM type with fluorescence reading, as shown in Fig. 1, a single-layer disk 1 of 120  $\mu\text{m}$  thickness with pits 3 filled with the fluorescent composition 5 prepared according to Example 1, 2, 3, 4, 5 or 6 is covered with a layer 7 of UV-69 adhesive, and a similar fluorescence disk 9 with a polycarbonate substrate of 30  $\mu\text{m}$  thickness is glued thereto. The adhesive is cured with UV light 11. In just the same way, as shown in Fig. 2, another eight disks 9 similar to the second one are sequentially glued. This process gives a 10-layer fluorescence disk 13 with the bottom layer contrast ratio at least .5.

While various embodiments have been set forth above, those skilled in the art who have reviewed this disclosure will readily appreciate that other embodiments can be realized within the scope of the present invention. For example, disclosures of the number and thicknesses of layers, the concentrations of various materials, the choice of a substrate material, and the like should be understood as illustrative rather than limiting. Therefore, the present invention should be construed as limited only by the appended claims.

## WHAT IS CLAIMED IS:

1. A fluorescent composition for manufacture of a single-layer optical disk of CD-ROM type with fluorescence reading, the fluorescent composition comprising:

at least one fluorescent dye;

5 at least one film-forming polymer;

a plastisizer; and

an organic solvent

2. The fluorescent composition of claim 1, further comprising:

a surfactant; and

10 a light stabilizer.

3. The fluorescent composition of claim 1, wherein the fluorescent dye is in a concentration of 0.001 – 0.1 mole per kg of the film-forming polymer.

4. The fluorescent composition of claim 3, wherein the fluorescent dye is selected from the group consisting of xanthene dyes of the eosine group, xanthene dyes of the rhodamine group, acridine dyes, oxazine dyes, azine dyes, indigoide dyes, perylene dyes, violanthrone dyes, cyanine dyes, phthalocyanine dyes, and porphyrins.

5. The fluorescent composition of claim 1, wherein the film-forming polymer is in a concentration of 2.0–50.0 g/l .

6. The fluorescent composition of claim 5, wherein the film-forming polymer is selected from the group consisting of polyvinylacetals, acrylic resins, cellulose ethers, cellulose esters, phenol-formaldehyde resins, melamine-formaldehyde resins, urea-formaldehyde resins, and polyvinylacetate.

7. The fluorescent composition of claim 1, wherein the plasticizer is in a concentration of 1.0 – 50.0 wt. % with respect to film-forming polymer.

8. The fluorescent composition of claim 7, wherein the plasticizer is selected from the group consisting of phthalic esters, sebacic esters, and phosphate esters.

5 9. The fluorescent composition of claim 1, wherein the surfactant comprises a non-ionogenic compound in a concentration of 0.01 – 2.0 wt. % with respect to film-forming polymer.

10 10. The fluorescent composition of claim 2, wherein the light stabilizer comprises HALS (Hindered Amine Light Stabilizer) in a concentration of 0.1 – 2.0 wt. % with respect to the film-forming polymer.

11. The fluorescent composition of claim 1, wherein the organic solvent is selected from the group consisting of methanol, ethanol, isopropanol, pentanol and mixtures thereof.

12. A method of manufacturing a CD-ROM type optical disk, the method comprising:  
providing a substrate which is formed as a disk which has a surface and is covered with  
15 pits in the surface; and

applying a fluorescent composition by spin coating, roller coating, or dip coating to the surface of the substrate so as to fill the pits with the fluorescent composition, while the surface outside the pits remains free of the fluorescent composition and does not fluoresce.

13. The method of claim 12, wherein the pits are 0.1-1.0 micrometers deep.

20 14. The method of claim 13, wherein the pits are 0.3-0.5 micrometers deep.

15. A method of manufacturing a multilayer CD-ROM type optical disk the method comprising:

forming a plurality of single-layer disks, each of the single-layer disks being formed by  
(a) providing a substrate which is formed as a disk which has a surface and is covered with  
pits in the surface and (b) applying a fluorescent composition by spin coating, roller coating,  
or dip coating to the surface of the substrate so as to fill the pits with the fluorescent  
5 composition, while the surface outside the pits remains free of the fluorescent composition  
and does not fluoresce; and

sequentially affixing the plurality of single-layer disks onto one another.

16. The method of claim 15, wherein the plurality of single-layer disks are affixed onto  
one another by gluing.

10 17. The method of claim 16, wherein the plurality of single-layer disks are formed and  
glued to one another such that the multi-layer disk comprises active layers alternating with  
inactive layers.

18. The method of claim 17, wherein the inactive layers are 20-50 micrometers thick.

15 19. The method of claim 18, wherein the inactive layers are transparent to a wavelength  
of light used to cause the fluorescent composition to fluoresce and to a wavelength of light  
given off by the fluorescent composition when the fluorescent composition fluoresces.

20. The method of claim 16, wherein the single-layer disks are glued onto one another  
with a UV-light cured optical adhesive.

21. The method of claim 20, wherein the UV-cured optical adhesive is selected from  
20 the group consisting of P-92, UV-69, UV-71, UV-74, J-91, VTC-2, and SK-9 adhesives.



22. A fluorescent CD-ROM optical disk comprising at least one substrate, said substrate containing pits wherein said pits are filled or coated with a fluorescent composition, said fluorescent composition comprising a fluorescent dye, a film-forming polymer and a plasticizer.
23. The optical disk of claim 22 wherein the fluorescent composition further comprises a surfactant and a light stabilizer.
24. The optical disk of claim 23, wherein the fluorescent dye of the fluorescent composition is in a concentration of about 0.001 - 0.1 mole per kg of the film forming polymer.
25. The optical disk of claim 22 wherein the film forming polymer is in a concentration of about 2.0 - 50.0 g/l.
26. The CD-ROM optical disk of claim 25 wherein the film forming polymer is selected from the group consisting of polyvinylacetals, acrylic resins, cellulose ethers, cellulose esters, phenol-formaldehyde resins, melamine-formaldehyde resins, urea-formaldehyde resins, and polyvinylacetate.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/23625

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :C09K 11/06; G11B 3/70, 7/24

US CL :Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 252/301.35, 301.34; 427/157; 396/288, 283, 275.4, 101; 420/270.14, 270.15, 270.18, 270.19, 270.2, 270.21

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,205,963 A (BRITO et al) 27 April 1993 (27-04-93), whole doc.	1-11
X	US 4,927,681 A (CHIKUMA) 22 May 1990 (22-05-90), col. 2, line 25-col. 5, line 16.	12
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Y		13-26

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

11 JANUARY 1999

Date of mailing of the international search report

01 FEB 1999

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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/23625

## A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

252/301.35, 301.34; 427/157; 396/288, 283, 275.4, 101; 420/270.14, 270.15, 270.18, 270.19, 270.2, 270.21

